



New Mexico Secretary of State IT Projects & Status

August 7, 2014

Presented at the request of:
Science, Technology & Telecommunications Interim Committee

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PRIMARY FUNCTIONS OF THE SOS

- The SOS has two distinct areas in which it must carry out its constitutional and statutory mandates:
 - **Elections & Ethics** – Oversight of the entire statewide election process to include providing the statewide voter registration and election management systems, ballot tabulation equipment , ballots, and other printed election material for the 33 counties across the state as well as oversight of the Governmental Conduct Act.
 - **Business & Corporate Services** – recording and maintaining records vital to the interest of commerce and industry such as limited liability partnership registrations, corporate registrations, trademarks, uniform commercial code (UCC) filings, service of process on corporations, notary public registrations, and agricultural liens.

SOS IT SYSTEMS

- **Voter Registration & Election Management System (VREMS)** – VREMS is the centralized voter registration database utilized by all 33 county clerks across the state. It consists of voter data and history and produces rosters and materials required for all city, county, and state elections.
- **Campaign Finance Information System (CFIS)** – CFIS is the financial reporting system used by all candidates, political action committees, lobbyists, and lobbyist employers that are statutorily required to disclose expense and contribution information.
- **Integrated Reporting & Integrity System (IRIS)** – IRIS is the new election system with functionality that includes capturing candidate filing data, generating ballot exports, as well as public results reporting and result canvassing features used by the public, the county clerk offices, and SOS elections staff.
- **Corporations Information System (CIS)** – CIS is utilized by SOS Corporations staff to capture data for over 330,000 entities including 180,570 active foreign and domestic corporations in New Mexico.
- **NM Business Filing System (NMBFS)** – NMBFS is the new business service system utilized for a variety of functions including trademark registrations, UCC filings, notary public registrations, service of process transactions, and agricultural liens. The NMBFS will also replace CIS to register Corporations and Partnerships by the end of FY15.

FY14 TRANSFER OF PRC CORPORATIONS BUREAU TO SOS

- During the 2012 General Election, voters passed a constitutional amendment transferring authority from the Public Regulation Commission (PRC) to the SOS to administer corporate records and legislators passed enabling legislation to transition PRC Corporations staff, functions, and statutory authority to the SOS by July 1, 2013.
- An initial SOS assessment found that PRC's homegrown CIS system was only partially developed and required many intensive manual processes often making corporations staff jobs harder.
- SOS inherited a transaction backlog averaging 110 days as of July 1, 2013. This was reduced to an average of 3-5 business days by November 2013 and we have continued to maintain this average since.

FY14 SOS CORPORATIONS BUREAU ACCOMPLISHMENTS

- Implemented improvements to the online portal leading to a 322% increase in use of the online Corporations Portal for filing annual reports during FY14 compared to filings done in FY13 at PRC.
- In partnership with DoIT, established an automated call distribution technology including a customer call wait queue with a dedicated rotation of staff to answer phone calls.
- Implemented a streamlined document imaging and indexing process to manage and archive all paper documents for quicker turnaround on public record requests.
- Implemented significant improvements to the CIS and online public portal making document processing quicker and more efficient leading to 50% more transactions being processed by SOS in FY14 compared to total transactions completed in FY13 by PRC.

FY14 SOS BUREAU OF ELECTIONS ACCOMPLISHMENTS – IRIS PROJECT

- Integrated Reporting and Integrity System (IRIS) – software modules completed by 2014 Primary with 100% adoption by county clerks:
 - **Statewide Candidate Filing** – captures candidates filing at SOS or county level to appear on ballot and publishes information to a public website in real-time.
 - **Automated Ballot Exports** – race and candidate data are captured in a centralized location allowing for easy export to ballot printer vendors for creation of paper ballots and programming of ballot tabulation equipment. This reduces misspellings and other errors in the ballot creation process.
 - **Public Results Reporting** – public election results reporting allows for easy tracking of race results with drill down capability to review results at state, county, and precinct level.
 - **Results Canvassing** – centralized system allows county and state officials to validate election results and provide reports to auditors and county and state canvass boards. As a result of IRIS, the 2014 Primary Election audit report published by the statewide independent audit firm contained **zero audit findings** for the first time in recorded history.

BENEFITS OF SOS IRIS PROJECT

Benefits of SOS IRIS Election System	
Beneficiary	Benefits
Secretary of State	<ul style="list-style-type: none"> • Streamlined election reporting results • Statewide automated on-line candidate filing system • Capability to provide election services in a statewide uniform delivery • Real-time audit trail for county's data upload
County Clerks	<ul style="list-style-type: none"> • Capability for counties to import results from the tabulator machines directly into the centralized reporting application • Accurate canvassing of results from voting convenience centers by precinct • One common application that can be administered uniformly statewide
General Public	<ul style="list-style-type: none"> • Ability to see all candidate filings in real time on filing days • More effective delivery of election night reporting • Ability to drill down into election reporting for individual precincts • Ability to access statewide candidate portal
State Canvassing Board	<ul style="list-style-type: none"> • Improve auditing and accountability of results by precinct and vote type • Proper canvassing of voting convenience centers • Ability to conduct the canvass in a more efficient manner • Eliminate canvass errors with a single uniform canvass system for result verification and official reporting
Ballot Layout Programmers	<ul style="list-style-type: none"> • Streamlined and uniform delivery of data for ballot creation and tabulator programming
Media	<ul style="list-style-type: none"> • Standard precinct level result format for statewide election reporting • Real-time access to candidate filing information (multi-county and individual county offices) • Associated Press feed available to assist media partners to capture accurate results on election night

FY14 SOS BUREAU OF ELECTIONS ACCOMPLISHMENTS – TABULATOR REPLACEMENT PROJECT PHASE 1

- 2014 Primary Election - successful implementation of new voting tabulator machines and ADA compliant ballot marking devices in 5 counties: Bernalillo, Doña Ana, Sandoval, Valencia, and San Juan.
- New tabulator benefits include:
 - Eliminate removable memory card failures seen frequently in old equipment. Previous equipment had frequent card failures which would require paper ballots to be re-tabulated using a replacement card or hand tallied.
 - Integration with IRIS for ballot creation, tabulator programming, and result reporting.
 - Ability to capture polling location and tabulator identification data that is imperative for canvassing voting convenience centers down to a precinct level as required by law.

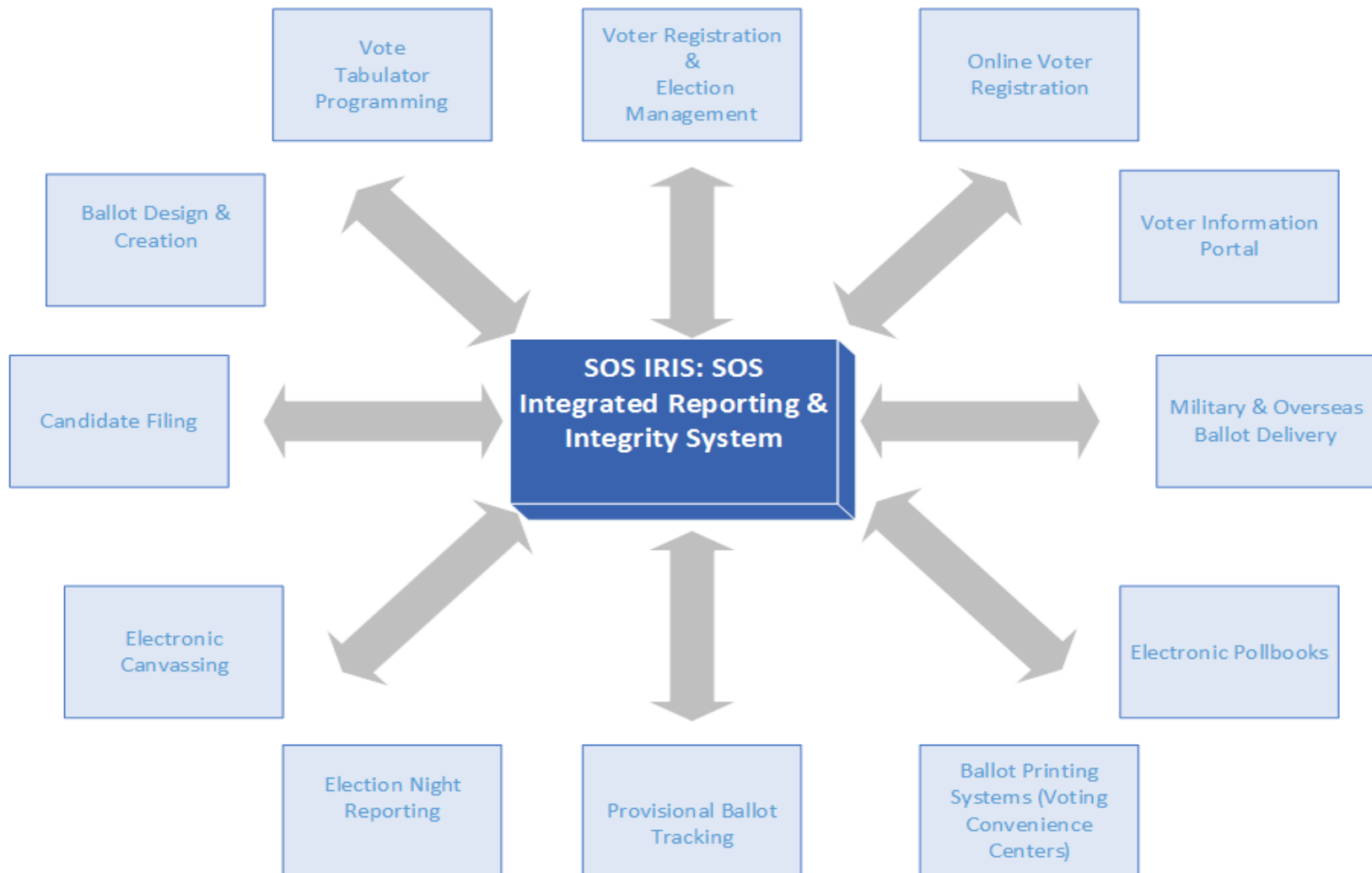
UPCOMING SOS PROJECT INITIATIVES – BUSINESS FILING SYSTEM PHASE 2

- The complete project will replace all business service & corporations systems utilized by the SOS and its business and banking customers with a single software solution by June 30, 2015.
- New system will allow traditional paper processing, however, it will also have a significant self-service web component to allow customers to file required documents or perform on demand searches for information.
- New system will also become part of the State’s “One Stop Business Portal” (Laws 2014, Ch. 20) that passed during the 2014 legislative session.

UPCOMING SOS PROJECT INITIATIVES – ELECTIONS – IRIS PHASE 3

- FY16 business case has been submitted by the SOS to request \$1.4 million to implement IRIS Phase 3 – replacing current voter registration system (VREMS).
- Adopt an existing, proven system from South Dakota which can be **customized** to meet New Mexico's election laws and processes ensuring accurate and auditable election results for the SOS and all 33 county clerk offices.
- Less money, more features - Creates a uniform, statewide election management system that will **integrate ALL processes and systems utilized by the SOS and county clerks during the election cycle including candidate filing, voter registration, results reporting, ballot creation, and tabulator programming.**

THE FUTURE: SOS IRIS



UPCOMING SOS PROJECT INITIATIVES – ELECTIONS – TABULATOR REPLACEMENT PHASE 2

- Statewide deployment of voting tabulator machines and ADA compliant ballot marking devices in polling locations across the state in all 33 counties in time for the 2014 General Election.
- County training on new equipment and regional meetings on deployment project status are ongoing throughout August.
- Equipment delivery and acceptance testing by counties is currently ongoing.
- Mock election testing to increase familiarity with use of equipment as well as to conduct logic and accuracy testing of new machines and integration with IRIS will be conducted statewide in September.

THANK YOU!

Questions?